

SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT

MEMORANDUM

TO: Board of Directors

DATE: January 14, 2021

FROM: Harriet Richardson, Inspector General

SUBJECT: Request for Approval of Charter for the Office of the Inspector General

At the January 14, 2021, Board meeting, the Inspector General will provide an overview of the Charter for the Office of the Inspector General (OIG). On October 29, 2020, the BART Audit Ad-Hoc Committee recommended that the Charter for the Office of the Inspector General (OIG) be brought to the Board for approval. The Charter adds clarification for how the OIG will conduct its work to meet the state-mandated requirements of [California Public Utilities Code Sections 28840-28845](#), including:

- Conducting performance in accordance with the *Government Auditing Standards* to meet the mandate to conduct audits to identify opportunities to improve the efficiency and effectiveness of BART programs and operations.
- Conducting investigations in accordance with the *Principles and Standards for Offices of Inspector General* to meet the mandate to receive and investigate complaints of fraud, waste, and abuse.
- Requirements for access to information, records, property, and personnel to support our ability to conduct audits and investigations.
- Coordinating draft reports with BART management, reporting the results to the Board, the state, and the public, and following up on management's implementation of recommendations.
- Establishing an internal quality assurance and improvement program to ensure compliance with the *Government Auditing Standards* and the *Principles and Standards for Offices of Inspector General*.

RECOMMENDATION:

The Audit Ad-Hoc Committee recommends that the Board of Directors approve the Charter for the independent Office of the BART Inspector General.

MOTION:

The Board approves the Charter for the independent Office of the BART Inspector General.



Harriet Richardson

cc: Board Appointed Officers
General Manager
Deputy General Manager
Executive Staff