



OIG Report of Activities for the Period August – December 2019

January 23, 2020

Office of the Inspector General



Complaints Received



Five Complaints:

- **Completed investigation – M-Line Tunnel Lighting Upgrade**
- **Declined to investigate one complaint**
- **Three complaints in progress**



Foundational Activities



**Drafted job descriptions; recruited for and selected
Assistant Inspector General**

OIG website and email address

Complaint input form

SharePoint site

Scope of Work for District-wide risk assessment

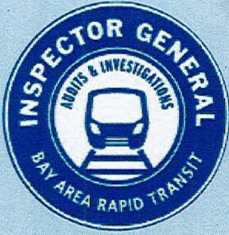


Status of Recommendations



Investigation of M-Line Tunnel Lighting Upgrade – five recommendations:

- **Alternate action taken on one recommendation – terminated entire contract rather than only rescinding light fixture approval; three other recommendations were not implemented as a result**
- **Informed staff of the observation and reminded them of importance of timely communication and adherence to timelines and guidelines specified in the contract**



Next Steps



Develop investigation and audit policies and procedures

Complete open investigations

Implement fraud, waste, and abuse hotline and investigation case management system

Obtain quotations for District-wide risk assessment and initiate assessment

Develop protocol document for coordinating audits and investigations with BART management

Recruit and hire Deputy Inspector general if overhead charges will not be assessed to BATA