



Office of the  
**INDEPENDENT  
POLICE AUDITOR**

BAY AREA RAPID TRANSIT DISTRICT

# MONTHLY REPORT

October 2022

---

Issue date: January 9, 2023

This report is filed pursuant to the BART Citizen Oversight Model, Chapter 1-05 (B), which requires the Office of the Independent Police Auditor (OIPA) to submit reports to the BART Police Citizen Review Board (BPCRB). This report provides information for the period **October 1, 2022 through October 31, 2022.**<sup>1</sup> *(The Quantitative Report includes all complaints received and administrative investigations initiated by both OIPA and the BART Police Department (BPD) Internal Affairs Bureau (IA)).*

## QUANTITATIVE REPORT

	Cases Filed <sup>2</sup>	Open Cases <sup>3</sup>	Investigations Resolved	OIPA Investigations Concluded <sup>4</sup>	Cases Appealed to OIPA <sup>5</sup>	Cases Appealed by BPCRB <sup>6</sup>
October 2021	15	88	7	0	0	0
November 2021	8	87	11	1	0	0
December 2021	6	87	6	0	1	0
January 2022	4	84	7	1	0	0
February 2022	6	81	9	1	0	0
March 2022	6	73	14	1	0	0
April 2022	10	79	6	1	0	0
May 2022	14	86	6	1	0	0
June 2022	8	87	7	1	0	0
July 2022	10	91	5	0	0	0
August 2022	10	85	17	2	0	0
September 2022	11	90	7	0	0	0
October 2022	5	82	13	1	0	0

## TYPES OF CASES FILED

Citizen Complaints (Formal)	5
Informal Complaints <sup>7</sup>	0
Administrative Investigations	0
Inquiries <sup>8</sup>	0
<b>TOTAL</b>	<b>5</b>

## CITIZEN COMPLAINTS RECEIVED PER DEPARTMENT<sup>9</sup>

OIPA	1
BART Police Department	4
<b>TOTAL</b>	<b>5</b>

## COMPLAINTS/INVESTIGATIONS INITIATED DURING REPORTING PERIOD

During October 2022, **1 Citizen Complaint** was received by OIPA:

Complaint # (OIPA Case #) (IA Case #)	Nature of Complaint	Action Taken	Days Elapsed Since Complaint Filed
<b>1</b> (OIPA #22-35) (IA2022-080)	Officers #1-2: <ul style="list-style-type: none"> <li>• Bias-Based Policing</li> <li>• Performance of Duty</li> <li>• Policy/Procedure</li> </ul>	OIPA initiated an investigation.	87

During October 2022, **4 Citizen Complaints (Formal)** were received by BPD:

Complaint # IA Case #	Nature of Complaint	Action Taken	Days Elapsed Since Complaint Filed
<b>1</b> (IA2022-079)	Employee #1: <ul style="list-style-type: none"> <li>• Force</li> </ul>	BPD initiated an investigation.	87
<b>2</b> (IA2022-081)	Officers #1-2: <ul style="list-style-type: none"> <li>• Arrest/Detention</li> <li>• Force</li> </ul>	BPD initiated an investigation.	83
<b>3</b> (IA2022-084)	Officer #1: <ul style="list-style-type: none"> <li>• Force</li> </ul>	BPD initiated an investigation.	77
<b>4</b> (IA2022-085)	Officers #1-2: <ul style="list-style-type: none"> <li>• Conduct Unbecoming an Officer</li> </ul>	BPD initiated an investigation.	76

## COMPLAINTS/INVESTIGATIONS CONCLUDED DURING REPORTING PERIOD

During October 2022, **1 Citizen Complaint** was concluded by OIPA:

Complaint # (IA Case #)	Nature of Complaint	Disposition	Days Elapsed Since Complaint Filed	Days Taken to Complete Investigation
<b>1</b> (OIPA #21-24) (IA2021-086)	Officers improperly detained subjects and used excessive force and did so because of the subjects' race.	<p>Officer #1:</p> <ul style="list-style-type: none"> <li>• Conduct Unbecoming an Officer – Sustained</li> <li>• Policy/Procedure (De-escalation) – Sustained</li> <li>• Policy/Procedure (Cooperation with Investigations) – Sustained</li> </ul> <p>Officers #1-2:</p> <ul style="list-style-type: none"> <li>• Force – Exonerated</li> </ul> <p>Officer #3:</p> <ul style="list-style-type: none"> <li>• Policy/Procedure (Supervisor Responsibility) – Exonerated</li> </ul>	434	345

During October 2022, **9 Citizen Complaints** were concluded by BPD:

(IA Case #)	Nature of Complaint	Disposition	Days Elapsed Since Complaint Filed	Days Taken to Complete Investigation
<b>1</b> (IA2021-083)	Officers used excessive force and did so because of complainant's race.	<p>Officers #1-2:</p> <ul style="list-style-type: none"> <li>• Force – Exonerated</li> <li>• Bias-Based Policing – Unfounded</li> </ul>	451	356
<b>2</b> (IA2021-085)	Officer operated a Department vehicle in an unsafe manner.	<p>Officers #1-3:</p> <ul style="list-style-type: none"> <li>• Force – Exonerated</li> <li>• Bias-Based Policing – Unfounded</li> <li>• Arrest/Detention – Exonerated</li> </ul>	440	351
<b>3</b> (IA2021-088)	Officers used excessive force during an improper detention.	<p>Officer #1:</p> <ul style="list-style-type: none"> <li>• Force – Exonerated</li> <li>• Arrest/Detention – Exonerated</li> </ul>	435	353
<b>4</b> (IA2021-089)	Officer used excessive force.	<p>Officer #1:</p> <ul style="list-style-type: none"> <li>• Force – Not Sustained</li> </ul>	434	358
<b>5</b> (IA2021-090)	Officer used excessive force and did so because of complainant's race.	<p>Officer #1:</p> <ul style="list-style-type: none"> <li>• Force – Exonerated</li> <li>• Bias-Based Policing – Unfounded</li> </ul>	427	352

6 (IA2021-091)	Officer failed to eject a passenger who violated the BART Code of Conduct.	Unknown Officer #1: • Performance of Duty – Administratively Closed <sup>10</sup>	423	342
7 (IA2021-093)	Officers improperly detained a subject.	Officers #1-2: • Arrest/Detention – Exonerated	425	344
8 (IA2021-096)	Officer used excessive force and did so because of complainant's race.	Officer #1: • Force – Exonerated • Bias-Based Policing – Unfounded	397	321
9 (IA2021-102)	Officer failed to take appropriate law enforcement action.	Officer #1: • Performance of Duty – Unfounded	446	350

During October 2022, **1 Informal Complaint** was addressed by BPD:

Complaint # (IA Case #)	Nature of Complaint	Disposition	Days Elapsed Since Complaint Filed	Days Taken to Complete Investigation
1 (IA2022-028)	Employee was rude and discourteous during a fare inspection.	Employee #1: • Conduct Unbecoming an Officer – Supervisor Referral. <sup>11</sup>	243	155

During October 2022, **2 Administrative Investigations** were concluded by BPD:

Investigation # (IA Case #)	Nature of Allegations	Disposition	Days Elapsed Since Investigation Initiated	Days Taken to Address Allegation
1 (IA2021-087)	Officer did not fully perform investigative duties, was untruthful in related documentation and was untruthful when questioned about the alleged misconduct.	Officer #1: • Truthfulness – Sustained • Performance of Duty – Sustained • Conduct Unbecoming an Officer – Sustained	434	336
2 (IA2021-078)	One employee made rude and/or disrespectful comments to complainant and mimicked an Asian dialect and another employee laughed at complainant in connection with the above conduct.	Employees #1-2: • Bias-Based Policing – Not Sustained • Conduct Unbecoming an Officer – Not Sustained	451	361

## DISCIPLINE ISSUED DURING REPORTING PERIOD

During October 2022, BPD took the following actions in cases where one or more allegations of misconduct were sustained:

Case #	Nature of Sustained Allegation(s) *	Classification of Sustained Allegation(s)	Action Taken
1	Officer did not properly document a law enforcement contact.	Officer #1: • Policy/Procedure (Report Writing)	Officer #1: • Letter of Discussion <sup>12</sup>
2	Officer was involved in a preventable vehicle collision.	Officer #1: • Policy/Procedure	Officer #1: • Written Reprimand <sup>13</sup>
3	Officer did not fully perform investigative duties, was untruthful in related documentation and was untruthful when questioned about the alleged misconduct.	Officer #1: • Truthfulness • Conduct Unbecoming an Officer • Performance of Duty	Officer #1: • Skelly Notice Issued for Formal Discipline <sup>14</sup>

## ADDITIONAL NOTES

In accordance with the BART Citizen Oversight Model (Model), OIPA investigates certain complaints, conducts complainant-initiated appeals, and monitors and/or reviews complaint investigations conducted by BPD. Though potentially work-intensive, some complaint investigation reviews are completed informally, with any concerns being addressed through a conference with BPD's Internal Affairs investigators. Noting the various kinds of work that OIPA undertakes with regard to complaints and investigations, the following chart includes some of the pending cases in which OIPA is involved as of the end of this reporting period.

Investigations Being Conducted	7
Complainant-Initiated Appeals	0
BPD-Initiated Appeals	0
Investigations Being Monitored	70
Investigations Reviewed During Current Month	15†

†This number does not include all OIPA reviews, as OIPA commonly looks at a variety of cases in the Internal Affairs database to obtain updates on both pending and completed investigations.

The Model provides that OIPA shall have authority to require follow-up investigation into any citizen complaint or allegation that is handled by BPD.<sup>15</sup> The OIPA Monthly Report will reflect information regarding monitored cases with detail not to exceed that which is allowable under state law. The investigations reviewed by OIPA during the period did not generate any notable recommendations for revisions or additional investigation.

---

<sup>1</sup> In addition to reporting on complaints received by the BART Police Department, the Citizen Oversight Model requires reporting on all complaints received by the "Citizen Board, Office of the District Secretary, and other District departments." As complaints received by the BART Police Citizen Review Board are customarily directed to OIPA for further action, such

---

\*Some details regarding the nature of sustained allegations may be withheld to avoid unintentionally breaching mandatory confidentiality requirements. In some instances, the relative infrequency of the alleged misconduct may tend to allow for identification of the subject officer in violation of the applicable CA Penal Code section (832.7).

---

complaints are included in the Quantitative Report above; OIPA is also made aware of additional complaints about the BART Police Department by the Office of the District Secretary or other District departments.

<sup>2</sup> This number includes all Citizen Complaints filed against members of the BART Police Department, as well as Administrative Investigations generated internally by BART Police Department members (as opposed to being filed by a citizen). This number also includes previously completed cases that have been re-opened during the current reporting period.

<sup>3</sup> This number indicates all investigations that are open as of the end of the reporting period. It includes Citizen Complaints (regardless of whether the investigation is being conducted by OIPA, the BART Police Department, or both) and Administrative Investigations.

<sup>4</sup> This number includes all cases completed by OIPA during the reporting period for which OIPA's findings are required by the BART Citizen Oversight Model to be submitted to the BART Police Citizen Review Board. It therefore includes independent investigations, as well as reviews of completed BART Police Department investigations initiated via appeal from a complainant. Unless otherwise noted, it does not include reviews of BART Police Department investigations initiated at the discretion of OIPA, which happen commonly and do not always generate a formal report; it also does not include reviews conducted by OIPA of complaint investigations where the complaint was filed with OIPA but did not fall under OIPA's investigative jurisdiction.

<sup>5</sup> This number refers to appeals filed with OIPA by complainants who have been issued the findings of the BART Police Department's internal investigation into their complaint regarding on-duty incidents. OIPA has a responsibility to review such appeals pursuant to the BART Citizen Oversight Model, Chapter 1-04 (E).

<sup>6</sup> This number refers to all appeals initiated by the BART Police Citizen Review Board after receiving and reviewing the findings issued by OIPA in a given case. The routes of all such appeals are described in detail in the BART Citizen Oversight Model, Chapter 1-04 (B) (iv-v).

<sup>7</sup> The BART Police Department defines an Informal Complaint as, "A comment on the actions of a Department employee, where the reporting party expressly states that he or she does not feel that the matter should be formally investigated with the understanding that an Informal Complaint does not hold the potential to result in disciplinary action against the employee." (BART Police Department Policy Manual, Policy 1020.1.1(d)).

<sup>8</sup> BPD policy provides that if a person alleges or raises an issue that does not constitute a violation of Department policy, procedure, rules, regulations, or the law, the Department will classify the issue as an inquiry.

<sup>9</sup> It is important to note that OIPA does not separate citizen complaints it receives into "Formal" and "Informal" classifications. This chart reflects all citizen complaints received by OIPA and all Formal Complaints received by the BART Police Department.

<sup>10</sup> Administrative Closure is defined as follows in the BPD Policy Manual: Allegations that are received and documented; however, the Chief of Police or his/her designee determines, based on a preliminary investigation, that further investigation is not warranted. Under these circumstances, the complaint will be Administratively Closed and documented in a summary memorandum to the case file. Employees will be documented as witnesses only, not as subjects to the complaint. Internal Affairs will send a letter to the complainant notifying them that the case was closed following a preliminary investigation.

<sup>11</sup> A Supervisor Referral refers to an instance involving an Inquiry or an Informal Complaint. An assigned supervisor addresses the issue informally with the involved employee and documents the content of the conversation with a memorandum to IAB.

<sup>12</sup> Letter of Discussion (second level of pre-discipline): A letter of discussion may be the next step of the process of the informal process. It is a written memorandum to the employee making the employee aware of the unacceptable behavior. A letter of discussion is pre-disciplinary, however, if the employee fails to correct the behavior, there will be cause to move to the next level of the process or to move to formal progressive discipline. An employee who may be issued a letter of discussion is entitled to appropriate representation. (BPD Policy Manual).

<sup>13</sup> A Written Reprimand is the first level of formal discipline and may be warranted if informal pre-discipline does not correct the conduct, attendance, work performance or the violation is of such a nature to warrant formal discipline. (BPD Policy Manual).

<sup>14</sup> The "Skelly" pre-discipline process is intended to provide the employee with an opportunity to present a written or oral response to the Chief of Police after having had an opportunity to review the supporting materials and prior to imposition of any recommended discipline. (BPD Policy Manual).

<sup>15</sup> OIPA may submit recommendations to IA regarding minor clerical or record-keeping adjustments which are intended to maintain the integrity of the data collection and record-keeping processes at BPD. These are not considered by OIPA to be substantive recommendations requiring reporting herein.