

San Francisco Bay Area Rapid Transit District

*300 Lakeside Drive
Oakland, CA 94612*



COMMITTEE MEETING AGENDA

Tuesday, May 18, 2021

1:00 PM

via Teleconference Only.

Audit Committee

SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT
300 Lakeside Drive, P. O. Box 12688, Oakland, CA 94604-2688

NOTICE OF MEETING AND AGENDA
AUDIT COMMITTEE
May 18, 2021
1:00 p.m.

COMMITTEE MEMBERS: Directors Allen (Chairperson), Dufty, and Saltzman

Chairperson Allen has called a Meeting of the Audit Committee on Tuesday, May 18, 2021, at 1:00 p.m.

Please note, pursuant to Governor Newsom's Executive Order N-29-20 and the California Shelter-in-Place mandate, this meeting will be via teleconference only.

Presentation materials will be available via Legistar at <https://bart.legistar.com>

You may join the Committee Meeting via Zoom by calling 1-669-900-6833 and entering access code 932 5503 1167.

If you wish to make a public comment:

- 1) Submit written comments via email to board.meeting@bart.gov, using "public comment" as the subject line. Your comment will be provided to the committee and will become a permanent part of the file. Please submit your comments as far in advance as possible. Emailed comments must be received before 4:00 p.m. on May 17 in order to be included in the record.
 - 2) Call 1-669-900-6833, enter access code 932 5503 1167, dial *9 to raise your hand when you wish to speak, and dial *6 to unmute when you are requested to speak.
- Public comment is limited to three (3) minutes per person.

AGENDA

1. Call To Order.

2. Internal Control Framework: A discussion of how implementing an internal control system based on a recognized framework ensures that an organization will achieve its objectives for operations, reporting, and compliance. For Information/Discussion.

Attachments: [Internal Control Framework - Presentation](#)
 [Internal Control Framework - Chart](#)

3. Audit Committee Public Member Selection Process. For Discussion and Action.

Attachments: [Audit Committee Public Member Selection Process - Rules](#)
[Audit Committee Public Member Selection Process - Application](#)

4. Third Review of Updates to the Whistleblower Policy. For Discussion and Action.

Attachments: [Third Review of Updates to the Whistleblower Policy - Presentation](#)
[Third Review of Updates to the Whistleblower Policy - Policy Redline](#)
[Third Review of Updates to the Whistleblower Policy - Policy](#)

5. Investigation Report: Valid Scores Used to Select Financial Auditor. For Information/Discussion.

Attachments: [Investigation Report - Presentation](#)
[Investigation Report - Report](#)

6. Independent Auditor's Report on Audit of Federal Awards under the Office of Management and Budget (OMB) Uniform Guidance for the Fiscal Year Ended June 30, 2020. For Information/Discussion.

Attachments: [Independent Auditor's Report on Audit of Federal Awards - Report](#)

7. Next Meeting: Date and Agenda Items. For Discussion and Action.

8. Committee Member Announcements/Comments. For Discussion.

(An opportunity for Committee members to provide brief comments on matters relevant to the Audit Committee.)

9. Public Comment.

April B. A. Quintanilla
Assistant District Secretary

BART provides services/accommodations upon request to persons with disabilities and individuals who are limited English proficient who wish to address BART Board matters. A request must be made within one and five days in advance of a Board or committee meeting, depending on the service requested. Please contact the District Secretary's Office at (510) 464-6083 for information.