

San Francisco Bay Area Rapid Transit District

*300 Lakeside Drive
Oakland, CA 94612*



COMMITTEE MEETING AGENDA

Monday, November 30, 2020

10:00 AM

via teleconference only

Audit Ad Hoc Committee

SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT
300 Lakeside Drive, P. O. Box 12688, Oakland, CA 94604-2688

NOTICE OF MEETING AND AGENDA
AUDIT AD HOC COMMITTEE
November 30, 2020
10:00 a.m.

COMMITTEE MEMBERS: Directors Saltzman (Chairperson), Allen, and Dufty
Chairperson Saltzman has called a Meeting of the Audit Ad Hoc Committee on Monday, November 30, 2020, at 10:00 a.m.

Please note, pursuant to Governor Newsom's Executive Order N-29-20 and the California Shelter-in-Place mandate, which prevents all but essential travel, this meeting will be via teleconference only.

Presentation materials will be available via Legistar at <https://bart.legistar.com>

You may join the Committee Meeting via Zoom by calling 1 669-900-6833 and entering access code 972-8634-4269.

If you wish to make a public comment:

1) Submit written comments via email to board.meeting@bart.gov, using "public comment" as the subject line. Your comment will be provided to the committee and will become a permanent part of the file. Please submit your comments as far in advance as possible. Emailed comments must be received before 8:00 a.m. on November 30 in order to be included in the record.

2) Call 1 669-900-6833, enter access code 972-8634-4269, and dial *9 to raise your hand when you wish to speak.

Public comment is limited to three (3) minutes per person.

AGENDA

1. Call To Order

- 2.** Results of Independent Audit on the Basic Financial Statements and Internal Control for the Fiscal Year Ended June 30, 2020. For discussion.

Attachments: [Results of Independent Audit on the Basic Financial Statements and Internal Cc](#)
[Results of Independent Audit - BART FS FY2020 Final - Report](#)
[Results of Independent Audit - Management Letter FY 6.30.2020 \(SECURED\) -](#)
[Results of Independent Audit - RHBT Report FY2020 - Report](#)
[Audit Committee Results BART 2020](#)

3. Results of the following Independent Audits on Compliance: State Transportation Development Act, Public Transportation Modernization Improvement and Service Enhancement Account (PTMISEA) State Proposition 1B, Alameda County Transportation Commission (ACTC) Measure B and Measure BB, and Board of Directors' Expenses, for the Fiscal Year Ended June 30, 2020. For discussion.

Attachments: [Results of the following Independent Audits on Compliance State Transportation Development Act](#)
[Results of the following Independent Audits on Compliance - Board Policy Compliance](#)
[Results of the following Independent Audits on Compliance - Measure B Fund Financial Statement](#)
[Results of the following Independent Audits on Compliance - Measure BB Fund Financial Statement](#)
[Results of the following Independent Audits on Compliance - Prop 1B Compliance](#)
[Results of the following Independent Audits on Compliance - STA Compliance Financial Statement](#)

4. Fiscal Year 2021 First Quarterly Financial Report. For information.

Attachments: [Fiscal Year 2021 First Quarterly Financial Report - Memo and Report](#)

5. Update on Office of Inspector General's Risk Assessment Project. For discussion.

6. Draft Revisions to District Whistle Blower Policy. For discussion.

Attachments: [Draft Revisions to District Whistle Blower Policy - Presentation](#)
[Draft Revisions to District Whistle Blower Policy - Policy](#)
[Draft Revisions to District Whistle Blower Policy - Policy Redlines](#)

7. Public Comment.

8. Next Meeting Agenda Items and Date. For discussion.

Jacqueline R. Edwards
Assistant District Secretary

BART provides services/accommodations upon request to persons with disabilities and individuals who are limited English proficient who wish to address BART Board matters. A request must be made within one and five days in advance of a Board or committee meeting, depending on the service requested. Please contact the District Secretary's Office at (510) 464-6083 for information.