

Reports on Past and Future Activities

Office of the Inspector General

September 19, 2023





Three Major Reports

- Annual Report
- Strategic Plan
- Audit Plan
- Focus on Path Forward
- Commitment to Excellence



Fiscal Year 2023 Annual Report to the California State Legislature

FY23 Annual Report to CA Legislature

Eventful &
Busy Year

FY23 Highlights

- 35 Investigations Closed
- 7 Major Investigations
- 55 New Case Evaluations
- 21 Reports Issued

Case Statistics

- 186 Total Complaints
- 22 Under Investigation
- 69 Investigated
- 39 Forwarded
- 56 Declined

FY23 Annual Report to CA Legislature

Eventful & Busy Year

Investigation Trends

- Fraud: 43 (23%)
- Compliance: 39 (21%)
- Unprofessional Conduct: 28 (15%)
- Conflict of Interest: 16 (9%)

All Recommendations

- 75 Total
- 66 Accepted
- 9 Not Accepted
- 45 Implemented
- 21 In Progress

FY23 Recommendations

- 26 Total
- 25 Accepted
- 1 Not Accepted
- 10 Implemented
- 15 In Progress

FY23 Annual Report to CA Legislature

Eventful & Busy Year

Audits

- Financial Structure: Complete
 - 2 Recommendations: 1 Implemented; 1 In Progress
- Span of Control: In Progress

News of Funding Boost

- More Audits & Investigations

Modern Communications

- Videos a Success

Report Changes of Note

- Definitions Added to Report
- Breakdown Showing Resolutions

Fiscal Year 2024 - 2028

Strategic Plan

FY24-28 Strategic Plan

4 Main Focus Areas

Focus Area 1: Added Value

- 4 Strategic Goals
 - Build OIG Team
 - Promote Development/Well-being
 - Conduct Investigations
 - Complete Audit Plan

Focus Area 2: Innovative Communications

- 4 Strategic Goals
 - Make Most of Communication Trends & Tools
 - Continue & Improve Video Use
 - Share Information
 - Work with Peer Organizations

FY24-28 Strategic Plan

4 Main Focus Areas

Focus Area 3: Dynamic Flexibility

- 5 Strategic Goals
 - Adjust Priorities to Address Changes
 - Shift Workloads to Address Changes
 - Real-time Audit-Plan Changes
 - Evolve Procedures for Efficiency
 - Rethink Team & Coordination Efforts

Focus Area 4: Sustainable Relationships

- 6 Strategic Goals
 - Recognize & Learn from Others
 - Be Transparent
 - Understand Business Context
 - Demonstrate Appreciation
 - Show Empathy
 - Give Credit Where Due

FY24-28 Strategic Plan

4 Main Focus Areas

31 Performance Measures

- Examples:
 - FA 1: Percent of Accepted Recommendations
 - FA 2: Number of Content Views
 - FA 3: Audit & Investigation Turnaround Times
 - FA 4: Willingness of Others to Assist OIG

Risks to Strategic Plan

- Reputational Risk
- Talent Acquisition Risk
- Funding Risk
- Compliance Risk
- Communication Risk

Fiscal Year 2024 - 2026

Audit Plan

FY24-26 Audit Plan

10 Planned Audits

FY22-24 Audits Carried Over

- Construction Contract Change Orders
- Asset Management
- Employee Compensation & Reporting
- Contract Solicitation Practices
- Inventory Management

New FY24-26 Audits

- Overtime Use
- Link21 Spending
- BART Stabilization
- On-Call Contract Use
- Hiring Practices

FY24-26 Audit Plan

10 Planned Audits

Launching In FY24

Overtime Use

- Consultant: November 2023 ~ \$300K

Construction Contract Change Orders

- Staff: December 2023 ~ \$238K

Asset Management

- Consultant: December 2023 ~ \$200K

Link21 Spending

- Consultant: January 2024 ~ \$198K

FY24-26 Audit Plan

10 Planned Audits

Expansive Reach Across District Areas

- Some Districtwide Audits
- Areas May Be Added

Top Audit Drivers

- Fraud & Waste
- Cost Savings
- Accountability & Transparency
- Compliance



Questions?

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