



APPLICATION FOR APPOINTMENT TO THE BART AUDIT COMMITTEE PUBLIC MEMBER SEAT

The Audit Committee for the San Francisco Bay Area Rapid Transit District (BART) assists the Board of Directors ("Board") in discharging its oversight responsibilities for financial management, operational effectiveness, ethics, and regulatory compliance of the San Francisco Bay Area Rapid Transit District ("District"), specifically in the areas under the direction of the Office of the Inspector General (OIG), the Controller-Treasurer, and Performance and Budget.

The role of the Audit Committee is to provide independent oversight and advice to the Board of Directors regarding the effectiveness and efficiency of operations, reliability of reporting for internal and external use, and compliance with applicable laws and regulations. It achieves this by providing a forum to discuss and pursue opportunities for improvements in business and performance operations, financial and nonfinancial reporting, and internal controls, as identified through audit and investigation reports of the District, whether conducted internally or by an external entity.

The Audit Committee shall be comprised of five voting members, including three Board Directors and two public members with governmental financial expertise. It shall meet at least four times per year, with authority to convene additional meetings as needed.

The Audit Committee is a legislative body for the purposes of the Brown Act. Accordingly, committee meetings are open public meetings subject to the noticing and meeting requirements under this law except in those proceedings where a closed session may be appropriate and required.

Audit Committee Public Member Requirements

Public members serving on the Audit Committee shall be appointed by a majority of the full Board of Directors through this application process.

Public members shall possess the independence, experience, and collective technical expertise necessary to carry out the duties of the Audit Committee.

Public members shall be residents within the District's boundaries.

Public members are subject to conflict-of-interest laws and must file an annual Form 700, Statement of Economic Interests, with the District Secretary.

It is important that you complete all parts of the application. If your application is incomplete or does not clearly show the experience and/or training required, your application may not be accepted.

<i>The application process will include two phases:</i>
PHASE 1 – Application and Recommendation Review
<i>All applications will be reviewed for all Membership Representation requirements and qualifications, letters of recommendation, and any supplemental documents.</i>
PHASE 2 – BART Board of Directors Appointment
<i>Selected candidates will be invited to appear before the Board of Directors to briefly explain their interest in serving on the committee, followed by a Board vote.</i>

I. Personal Information

Please consider my application for the following representation(s) on the BART Audit Committee:

First, Last Name:	Stephanie Noble	Residence Address:	[REDACTED]
Mailing Address	same as residence	County of Residence:	San Francisco
Main Phone:	([REDACTED]) [REDACTED] - [REDACTED]	Alternate Phone:	([REDACTED]) - [REDACTED]
Email Address:	[REDACTED]	Occupation:	Performance Audit Manager

II. Recommendation Contact Information (must provide **two** recommendations)

Please provide the contact information for the individuals recommending your appointment and attach their letters of recommendation to this application.

First Name:	Joe	Last Name:	Rois
Mailing Address	[REDACTED]	City & Zip:	[REDACTED]
Phone:	([REDACTED]) [REDACTED] - [REDACTED]	Email Address:	[REDACTED]
First Name:	Michael	Last Name:	Houston
Mailing Address	[REDACTED]	City & Zip:	[REDACTED]
Phone:	([REDACTED]) [REDACTED] - [REDACTED]	Email Address:	[REDACTED]

III. Personal Statement of Interest

Briefly explain your interest in serving on the BART Audit Committee. Please feel free to attach **additional** pages.

I am interested in using my professional skills in performance auditing and knowledge of government auditing standards to support the efficient, effective, equitable, and accountable governance of Bay Area Rapid Transit. As a daily transit user and local government auditor, I feel well-suited to this role.

IV. Expertise

What expertise do you have in governmental accounting, financial management, performance auditing, or conducting investigations of fraud, waste, or abuse? Attach additional sheets if needed.

I have over seven years' experience in performance auditing for the City of San Jose and City of Oakland. I have contributed to 20+ performance audits relating to, for example, open government, COVID-19 grant expenditures, and traffic impact fees. In addition, I have presented my work to local and regional conferences (Bay Area Local Government Auditors, Santa Clara County Local Government Audit Consortium, Association of Local Government Auditors) and published two articles in the Association of Local Government Auditors Quarterly on auditing prospective risks and using resident survey data.

V. Technical Knowledge

What technical knowledge do you have of accounting, financial or performance auditing, financial reporting, and internal controls, including an understanding of and ability to apply the Government

Auditing Standards, accounting standards issued by the Government Accounting Standards Board, and a recognized internal control framework. Attach additional sheets if needed.

I apply Government Auditing Standards and internal control frameworks in my profession daily, namely the Yellow Book and Green Book issued by the U.S. Government Accountability Office, though I have also audited compliance with Government Accounting Standards Board (GASB) rules relating to economic subsidies in San Jose. In addition to my work as a performance audit manager, I lead, facilitate, and advise peer reviews to ensure local government audit shops meet government auditing standards.

VI. Professional Certifications

What relevant professional certifications do you hold, such as Certified Public Accountant, Certified Internal Auditor, Certified Fraud Examiner, Certified Inspector General, Certified Internal Controls Auditor, Certified Information Systems Auditor, or a similar professional certification. Relevant experience may substitute for such certification in the Board's discretion. Attach additional sheets if needed.

I do not hold any of the listed certifications, but I have relevant experience.

VII. No Conflicts of Interest

Within the past 10 years have you had any affiliation with the District or with a firm that has done business with the District? No.

VIII. Term

Public members shall serve two-year terms and are eligible to serve up to six years total. There are two seats open for application.

IX. Organizations/Group Membership

List any organizations or groups that you belong to or are involved with that you believe bear relevance to your candidacy for service on the Audit Committee:

Organization Name:	Oakland City Auditor's Office	Position:	Performance Audit Manager
Organization Name:	Association of Local Government Auditors Peer Review Committee	Position:	At-Large Member
Organization Name:	Bay Area Local Government Auditors Planning Committee	Position:	Vice Chair
Organization Name:		Position:	

Applicant Signature: Steph Noble : _____ Date: 8/27/23 _____

Return this form and relevant attachments to the BART Office of the District Secretary:

In person or by courier: 2150 Webster Street, 10th Floor, Oakland, CA 94612 or U.S.P.S. BART District Secretary's Office, P.O. Box 12688, Oakland CA 94604 or email to boardofdirectors@bart.gov . Feel free to call (510) 464-6080 with any questions.

Final Filing Date - UNTIL FILLED

Thank you for your interest in serving on the BART Audit Committee.

STEPHANIE NOBLE

EXPERIENCE

Performance Audit Manager, City of Oakland Jun 2023 - current

- Supervise teams to ensure achievement of audit objectives, assigning tasks to balance workload, communicating expectations to team members, and reviewing key workpapers for accuracy, completeness, and relevance to audit scope, in accordance with Generally Accepted Government Auditing Standards (GAGAS).
- Lead the Office's quality assurance and monitoring in accordance with GAGAS.

Senior Program Performance Auditor, City of San José May 2016 - Jun 2023

- Held positions of increasing responsibility using data, qualitative analysis, and geospatial analysis to independently and objectively assess the effectiveness, efficiency, and equity of city programs and recommend improvements.
- Oversaw annual citywide performance report, leading 12 staff, and coordinating a contract and outreach for the annual citywide resident survey.
- Received a Pride of San José Award (2017) for work on a project evaluating risks associated with the development of a new department of clean energy.

Research & Publications Associate, Council of State Governments Sep 2014 - Mar 2016

- Researched policies for state legislators, designed and edited publications, managed email marketing, updated the office website, managed a regional policy innovation award, and staffed a legislative leadership program.

Research Assistant, Georgia Tech Oct 2012 - Sep 2014

- Researched methods for communicating scientific findings to legislators. Created a database for a systematic literature review. Conducted literature reviews, analyzed small-scale survey data, assisted grant writing, and evaluated urban policies and programs. Assisted a graduate-level research design class and an undergraduate policy analysis class.

Congressional Intern, Office of Congressman John Lewis Jan 2012 - May 2012

- Assisted legislative research, attended briefings, and wrote memos for legislative aides. Created constituent resources and wrote constituent correspondence.

EDUCATION

M.S. Public Policy, Georgia Tech 2014

Published thesis in *Frontiers in Public Health Services and Systems Research*.

B.S. Public Policy, Georgia Tech 2013

Highest honors. Received Liam Rattray Award for outstanding student in Public Policy.